



03500.010530.4 (35.C10530 C1/D2)

PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	:	Examiner: G. Fourson, III
KIYOFUMI SAKAGUCHI, ET AL.	)	
	:	Group Art Unit: 2823
Appln. No.: 09/161,774	)	
	:	
Filed: September 29, 1998	)	
	:	
For: PROCESS FOR PRODUCTION OF	)	
SEMICONDUCTOR SUBSTRATE	:	October 23, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THIRD INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

REMARKS

Applicants respectfully note that Japanese Laid-Open Patent Application No. 5-217827 ("JP '827") was cited in the examination of Japanese Patent Application No. 7-45441, a priority application of the subject application. Applicants respectfully submit that EP 0 553 859 corresponds to JP '827.

Applicants respectfully submit that Japanese Laid-Open Patent Application No. 5-217981 (“JP ‘981”) discloses, e.g., that after H<sub>2</sub> annealing a porous layer, epitaxial growing is carried out. Applicants respectfully submit that EP 0 553 861 corresponds to JP ‘981.

Abstracts for each Japanese document are also enclosed.

#### FORMAL MATTERS

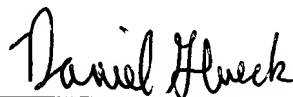
No fee is believed due; however, any fee required in connection with this paper should be charged to Deposit Account 06-1205. A duplicate of this paper is enclosed.

#### CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

A handwritten signature in cursive script that reads "Daniel Glueck". The signature is written in dark ink and is positioned above a horizontal line.

Attorney for Applicants  
Daniel S. Glueck  
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DSG/dc  
DC\_MAIN 147814 v 1

FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)  Submitted: October 23, 2003			ATTY DOCKET NO. <b>03500.010530.4</b> (35.C10530 C1/D2)		APPLICATION NO. <b>09/161,774</b>		
			APPLICANT <b>KIYOFUMI SAKAGUCHI, ET AL.</b>				
			FILING DATE <b>September 29, 1998</b>		GROUP <b>2823</b>		

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	

FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT		
5-217981	8/27/93	Japan			Abstract		
5-217827	8/27/93	Japan			Abstract		
0 553 861 A1	8/04/93	Europe					
0 553 859 A2	8/04/93	Europe					

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)		

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1